

#### CALIFORNIA OLIVE OIL COUNCIL Seal Certification Kit 2019 Harvest

**Submission Kit for** 

# **Producers**

#### **COOC Certification Mark and Intellectual Property License Agreement**

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Certification. Licensee has submitted to the COOC, samples of an olive oil having the characteristics set forth in the Olive Oil Submission/Register Form ("Submission Form") attached hereto as Attachment E/F for the purpose of evaluation by the COOC in accordance with the Standards set forth in Attachment B. If Licensee produces more than 5,000 gallons annually and is also subject to the Grade and Labeling Standards for Olive Oil, Refined-Olive Oil and Olive-Pomace Oil as issued by the California Department of Food and Agriculture, alternatively they may provide a record of organoleptic analysis in adherence with the Standards set forth in Attachment B. Provided the olive oil meets the Standards in Attachment B, the COOC agrees to certify that, as of the date hereof, the olive oil described in the Submission Application as complying with the Standards. Such olive oil shall be referred to hereafter as the "Approved Olive Oil".

- 1. <u>License</u>. Subject to such approval and certification, the COOC hereby grants to Licensee an exclusive license to use the Marks on vessels containing the Approved Olive Oil, and the Intellectual Property with the promotional and marketing materials developed by Licensee in connection therewith, and for no other purpose, and Licensee hereby accepts such grant subject to the terms and conditions hereof.
- 2. Representations and Covenants of Licensee. Licensee hereby represents and covenants that:
  - a) The Submission Application and all other information provided by Licensee to The COOC concerning the Approved Olive Oil are true and correct;
  - b) The samples of olive oil submitted by Licensee are true and unadulterated samples of the olive oil that Licensee intends to sell, market or distribute;
  - c) Licensee shall use the Mark only on vessels containing the Approved Olive Oil and promotional and marketing materials developed by Licensee in connection therewith;
  - d) In the event that the Approved Olive Oil represents a single lot<sup>1</sup> of olive oil, Licensee shall use the Mark only on vessels containing such lot of olive oil, and shall apply for new certification for any other new and distinct lots of olive oil:
  - e) Licensee is a member in good standing of the California Olive Oil Council, Inc. and has read, understands, and agrees to comply with the California Olive Oil Council Label and Promotional Material Disclosure Requirements in Exhibit B;
  - f) Licensee shall take **all** reasonable measures to ensure that the Mark will not be associated with any other products sold, marketed or distributed by Licensee and not covered by a similar license agreement with the COOC; and,
    - i) The use of the Mark on any product, separate from or blended with the Approved Olive Oil, is excluded. Neither the Mark nor the term extra virgin may be associated with a flavored or mixed oil blend(s), other than listed as an ingredient.
  - g) Licensee shall submit copies of all labels on which the Mark is intended for use in accordance with Attachment A and all stipulated requirements herein.
  - h) Licensee shall inform the COOC immediately of any material change to any of the foregoing.
- 3. <u>Quality Control.</u> Licensee acknowledges that the COOC may take all reasonable steps to ensure that the Marks are only used on vessels containing the Approved Olive Oil and that the Approved Olive Oil continues to comply with the Standards.
  - a) Licensee hereby agrees to provide the COOC or its authorized representatives records which are relevant to the production, bottling or storage of the products sold, marketed or distributed by Licensee which bear the Marks for the purpose of inspection of quality. Licensee further agrees to provide samples to the COOC within 30 days, upon the COOC's request, of all products sold, marketed or distributed by Licensee bearing the Marks and all promotional and marketing materials developed by Licensee in connection therewith, and/or which depict the Intellectual Property. In the case which a supplier or copacker is used, Licensee agrees and authorizes the supplier or copacker to supply records and samples as requested.
  - b) Licensee acknowledges that the Approved Olive Oil is a perishable product, which may over time cease to comply with the Standards, and Licensee therefore agrees to take all reasonable steps to ensure that its vessels bearing the Marks are not sold or marketed by Licensee if the contents no longer comply with the Standards. Such reasonable steps include but, shall not be limited to, advising any third party selling or marketing the vessel that the contents no longer comply with the Standards required by the Marks and facilitating its removal from marketplace and/or distribution.

<sup>&</sup>lt;sup>1</sup> Attachment C (See Seal Certification Kit)

#### **COOC Certification Mark and Intellectual Property License Agreement**

- 4. <u>Fees.</u> The COOC agrees to make self-adhesive stickers bearing the Seal of Certification Mark available for purchase by Licensee. The fees are set forth in the fee schedule on Page 17\*\*/21\*\*\*.
  - a) Licensee agrees to abide by said Fee and shall affix the stickers bearing the Marks to and use only on vessels containing the Approved Olive Oil for the specific harvest year. There is no license fee for the embedding of the Mark onto the label of the Licensee's vessel.

#### 5. Term and Termination.

- a) <u>Term.</u> Unless sooner terminated in accordance with Section 6, the term of the License shall be for a period of time for the Licensee to sell, market, and distribute all vessels containing the Approved Olive Oil bearing the Mark but, in no case will the License Agreement and the right to use the Intellectual Property be more than eighteen months from the harvest of the Approved Olive Oil<sup>2</sup>. Should the Membership Agreement become inactive or deactivated by the COOC, the use of the Intellectual Property will be considered terminated.
- b) <u>Termination.</u> If Licensee shall fail to perform any obligation, covenant, undertaking, term or condition of membership of this License, and fails to cure such default within thirty (30) days from the date of written notice of such failure of performance, sent by email or registered mail to Licensee by the COOC, or if Licensee ceases for any reason to be a member in good standing of the California Olive Oil Council, then the COOC shall be entitled to terminate this License immediately without further notice and shall be entitled to all remedies set forth in section 7 and all other remedies available at law and equity.
- c) <u>Effect of Termination</u>. Upon termination of this License Agreement, Licensee shall immediately discontinue all use of the COOC Intellectual Property, including the Marks, and any colorable imitations thereof. Any use of the COOC Intellectual Property, including the Marks, shall be deemed acknowledged an infringement and act of unfair competition in violation of all applicable federal and state laws, and also at common law.
- 6. <u>Liquidated Damages and Remedies</u>. Licensee hereby acknowledges that the Intellectual Property of the COOC, including the Marks, is extremely valuable to the COOC, and that any material breach of this License by Licensee (whether intentional or not) may result in substantial harm to the COOC, which may be difficult to measure and not adequately be compensated by monetary damages. Therefore, in the event of the termination of this License pursuant to section 6, the COOC may:
  - a) require Licensee to recall from distribution all vessels bearing the Marks, which do not contain Approved Olive Oil and Licensee agrees to do so immediately upon written request (by email or post) by the COOC.
  - b) require Licensee to pay the COOC the greater of the sum of ten thousand dollars (\$10,000) or the sum of one dollar (\$1.00) per vessel which does not contain Approved Olive Oil but, which bear the Marks, and Licensee agrees to make such payment within 30 days of written notice (by email or post) by the COOC.
  - c) disseminate a press release describing the circumstances of the termination, material breach or recall including public
    dissemination by any medium including direct mailing by retailers. Such press release may include but is not limited
    to the following language:

"The COOC announced today that (Name of Approved Olive Oil), certified on (Date) and bearing the COOC Marks, no longer meets the requirements for the Seal of Certification and/or the California Fresh Membership Mark, and that the certification and license to use the COOC Intellectual Property has been withdrawn. Further, the Licensee has agreed to recall from distribution or remove the COOC Seal of Certification and/or the California Fresh Membership Mark, as well as all COOC Intellectual Property used in connection therewith, from all vessels certified on (Same Date). This announcement does not apply to (Name of Approved Olive Oil(s)) certified on other dates."

- d) Licensee agrees that the above remedies are reasonable and may be imposed either individually or cumulatively, as may lesser remedies, at the sole discretion of the COOC. Licensee acknowledges that failure of the COOC in any instance or instances to impose a remedy upon Licensee or others shall not be deemed a waiver of the enforceability of any provisions in this License Agreement, or terms of membership.
- 7. <u>Indemnification</u>. Licensee agrees that it will not during the term of this License, or at any time thereafter, object or otherwise challenge, in any way, the title or any rights of the COOC in and to the Intellectual Property, including the Marks, or challenge the validity of this License. Licensee shall indemnify, defend and hold the COOC harmless from and against any and all claims, judgments, liabilities, losses, damages, penalties, fines, or costs (including, but not limited to,

<sup>&</sup>lt;sup>2</sup> Attachment B: Exhibit C (See Seal Certification Kit)

#### **COOC Certification Mark and Intellectual Property License Agreement**

- reasonable attorney's fees), which arise from, or are related to, Licensee's use of the Intellectual Property. This indemnity shall survive the expiration and termination of this License.
- 8. <u>Successors</u>. Licensee may not sell, assign, transfer, mortgage, sublicense, or otherwise part with any of its rights or obligations under this License, to any person or entity, without the prior written consent of the COOC, which consent may be withheld at the COOC's sole discretion. Subject to the foregoing limitation, the provisions of this License shall inure to the benefit of, and shall be binding upon, the heirs, successors, executors, administrators and assigns of the parties hereto.
- 9. Notice. Any notice, demand, request, consent or other communication which either party desires or is required to give to any other party shall be in writing and shall be deemed to have been given when either: (a) delivered in person, email or by facsimile transfer, or (b) sent by overnight courier or first-class registered or certified mail, postage pre-paid, return receipt requested, addressed to such party at the address set forth following Licensee's signature to this Agreement. Either party may designate another address for itself at any time upon written notice to the other party.
- 10. Improper Use by Third Parties. Licensee will immediately provide to the COOC the name and address of any entity, whether it be a Licensee or non-Licensee, that engages in the mislabeling of olive oils with the improper or unauthorized use of the Marks or any other Intellectual Property owned by the COOC, whenever and wherever Licensee reasonably believes that such activity is being carried on.
- 11. <u>Binding Arbitration</u>. The parties shall in good faith work toward the resolution of any disputes or issues arising under this License Agreement. If the parties fail to reach a final resolution with respect to any such disputes or issues, the parties shall submit such disputes or issues to binding arbitration. Such disputes or issues shall be arbitrated pursuant to the provisions of Sections 1280-1294.2 of the California Code of Civil Procedure to be held in San Francisco County, before a retired judge of the California state or federal courts. Judgment on the Arbitrator's decision or award shall be final and binding and may be entered in any competent court. This paragraph confirms the mutual intent of all parties to waive jury trial. The parties may hereafter agree to other arbitration rules, such as the commercial arbitration rules of the American Arbitration Association, but any such agreement must be in writing. The arbitrator's decision shall be binding on the parties.
- 12. Expense of Arbitration. If any arbitration is commenced to enforce any provision of this License Agreement, then the prevailing party shall be entitled to be reimbursed by the unsuccessful party for all fees, expenses and costs incurred in connection with such arbitration, including a reasonable allowance for attorneys' fees and costs and expert witnesses' fees and costs, which amount shall be added to and become part of the final decision in such matter.
- 13. Governing Law. This License shall be governed by, and construed in accordance with, the law of the State of California.
- 14. <u>Authority</u>. Signatory below warrants and represents to having the authority to sign on behalf of and bind the named entity on whose behalf this Agreement is signed.
- 15. <u>Entire Agreement</u>. No representations, promises, understandings or agreements exist other than as set forth herein. This License Agreement is the standard agreement for all members and may not be amended as to any applicant under any circumstance.

IN WI 20	TNESS WHEREOF, the Licensee have executed this License Agreement effective this	_ day of,
Licens	ee:	
	Company Name:	_
	By (signature):	_
	By (printed):	_
	Title	

C	hmission	Form	for	Coal	Cort	Hifica	tion	2010
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#### ATTACHMENT A

#### AUTHORIZATION AGREEMENT FOR USE OF THE SEAL

** Refer to labels)	Submission Form(s) on page 17 for the fee stru	cture (For stickers only; there is no fee for imbedding the Seal in		
Please indic	ate if you are a producer or a private label entity	y:		
	Producer	Private Label Entity		
Please indic	ate if you will be participating in the Generic or	r Harvest Seal Program		
	Generic Seal Program	Harvest Seal Program		
Will you be	applying for the digital artwork or Seal stickers	s?		
	Digital artwork	Seal stickers		
If you select	ted to use the digital artwork, what color schem	e will you use? <sup>3</sup>		
	Green & Yellow	Green & White		
	Black & White	Grey Scale		
<ol> <li>The use of the Generic Seal is permissible if <u>ALL</u> of the following conditions are met:         <ol> <li>The licensee has provided a <u>final</u> copy of their label(s) with clear indication of the harvest date containing month and year in a consumer-friendly format (i.e. November 2019 or 11/2019). Due to readability issues and fading, laser etching must be pre-approved by the COOC to ensure clarity. <u>Please initial</u></li> </ol> </li> <li>The licensee acknowledges that they must apply for use of the Generic Seal annually, in line with the Seal Certification Program. <u>Please initial</u></li> <li>The user agrees to withdraw products should at any time the oil(s) not pass the criteria for extra virgin certification<sup>4</sup>. The product(s) must be withdrawn within 30 days of COOC written notification. The member will notify the COOC in writing that all products have been recalled in total.</li> <li>The COOC Seal, whether Generic or Harvest, is valid for eighteen months post-harvest unless the COOC is provided with technical data as outlined in Table 1 for producers &gt;5,000 gallons, including a sensory evaluation.</li> <li>If purchasing Seal stickers from the Generic Seal Program, the licensee will only be able to purchase rolls of 1,000 stickers as these will be available for use on future harvest given the member remains in compliance of membership and complete the annual requirements outlined in the active Seal Certification Program.</li> </ol>				
Sign and ret	urn this page:			
Signature: _				
Print Name:				
Company: _		Date:		

 <sup>&</sup>lt;sup>3</sup> See Table 2 for panettone requirements (See Seal Certification Kit)
 <sup>4</sup> Table 1 (See Seal Certification Kit)

#### **Submission Form for Seal Certification 2019**

#### ATTACHMENT E

PRODUC	ER Submission Checl	klist	
Please include this form as a cover sheet with your su	bmission and shipme	nt.	
Company Name			_
Certification Mark and Intellectual Property I Authorization Agreement for Use of the Seal (a Producer Index one per producer (pgs. 7-8) Producer Olive Oil Submission Form one per of Hard Copy or Digital Copy of Product Label(s Chemical Analysis one per oil from COOC appr Two bottles of each oil for certification OR Rec larger; labeled with producer name and lot/vara gal. (Tier 4-6): Alternatively, a record of sensor Sensory (Required: Detailed Sensory Evaluation Payment Fees are non-refundable. Checks should information below, pay online or call the COOC	Attachment A) one per poil submitted for certifics one per oil indicated coved laboratory second of sensory analysis from an IOO in Report).  d be made payable to O	r producer (pg. 5) cation (pg. 9, additiona in Producer Index sis (Tiers 4-6 only) Bot neets bottling requireme c and AOCS recognized	l copies on website) tles must be 250 mL or ents. Producers >5,000 l sensory panel; Applied
	Fee	Quantity	Total
Small Producer (< 100 gallons) First Submission	\$100.00		
Small Producer Additional Submission(s)	\$80.00/oil		
Large Producer (>100 gallons) First Submission	\$225.00		
Large Producer Additional Submission(s)	\$150.00/oil		
'Authorization to Use' (Tier 4-6 with submission of record of sensory analysis)	\$75.00/oil		
Retasting Fee	\$100.00/oil		
Late Fee (Submissions April 1 – 30, 2020)	\$250.00/oil		
Seal Stickers – 1,000 count	\$80.00/roll		
Seal Stickers – 500 count (Harvest Seal only)	\$50.00/roll		
Seal Stickers – 250 count (Harvest Seal only)	\$25.00/roll		
Seal Stickers Shipping	\$8.00/order		
Total			
Name on Card:			
Business Name on Card (if applicable):			
CC#	Exp Date:	CVV:	
Billing Address:			
Ciamatuma			

<sup>&</sup>lt;sup>5</sup> https://www.cooc.com/industry-resources/approved-labs/

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Submission F	orm for	Seal	erfification	2019

## ATTACHMENT E PRODUCER Index

**Please ensure that all	information is accurately completed, as omissions will delay the sensory evaluation**
Company Name:	
Primary Contact:	
Phone Number:	Email:
Website:	
Total Production Volume for 2	019 Harvest (in gallons):
	V-Protected glass bottles, food service metal, or protective coated exterior packaging will be ass bottle and will be housed in a box of suitable non-exposed vessel. Oils will not be assessed it ial:
☐ I hereby authorize the COC by the COOC Sensory Panel	C to evaluate the oil(s) for conformity with the Marks, including the blind assessment of the oil
supersede the COOC S	once submissions are made to the COOC, an alternative assessment may not be used to ensory Panel's results. If results do not meet the Standard for extra virgin grading, members may ave the oil reassessed of which the COOC will conduct a secondary evaluation.
Sensory Panel, I am in	>5,000 gallons annually in Tier 4-6 and in place of the blind assessment of the oil by the COOC stead submitting records of the oil's organoleptic assessment by an approved panel in adherence ned in Attachment B: IOC and AOCS recognized; Applied Sensory (Required: Detailed Sensory)
these results are binding on me any individuals or entities asso	ission to use the Marks, the results will be kept confidential by the COOC. I explicitly agree that and the COOC, and further explicitly release and discharge and hold harmless the COOC and related with either the COOC or the Sensory Panel from any claims, liabilities, obligations or any ned, resulting from their actions or inactions with respect to evaluation.
development of the industry. N	OC to utilize sample information and data for statistical collection of which may benefit the o individual sample or data will be identified and would only be used for aggregate statistics of s shared with membership. <b>Please Initial</b> :
including the California Olive	and understood the Certification Mark and Intellectual Property License Agreement, Dil Council Standards <sup>6</sup> covering Exhibit B in reference to Label and Promotional Materials that I may only label or promote my oil as COOC Certified if I have executed the Agreement.
	above representations on behalf of Licensee, sign on behalf of Licensee, and bind Licensee to all nalty of perjury under the laws of the State of California that the foregoing is true and correct.
Signature:	Date:
Print Name:	Title:
Company:	

<sup>&</sup>lt;sup>6</sup> Attachment B (See Seal Certification Kit)

#### **Submission Form for Seal Certification 2019**

### ATTACHMENT E PRODUCER Index

List all (anticipated) market ready oil(s) below as intended for sale and as should be reflected on COOC materials, including the COOC website. This should reflect your list of extra virgin olive oils available for purchase whether bulk, for retail, or both. If you submit lots and intend on a percentage to be used for blends after certification, the blend(s) should be in the listing below along with the original lot (if the original lot is also being sold as submitted); i.e. You submit an Arbequina and Picual with a percentage of each being blended into your 'Miller's Blend', below will display "Arbequina", "Picual", and "Miller's Blend". Subsequently, if you submit your Arbequina and Picual separately but, intend on blending the entirety of each for your Miller's Blend, below will only list "Miller's Blend". Submissions sent in already as a blend should be listed as such, with details outlined in the Producer Olive Oil Submission Form (page 20). If in the case that after certification results have been delivered to the member, adjustments to the oils in the below list or the composition of the oil(s) are made, it is the responsibility of the member to provide the COOC revised documentation. The COOC will conduct its marketing efforts of its member's Approved Olive Oils based on the most current documents on file. \*\*Labels should be provided for each of the listed oils on this page\*\*

	Name (as sold under)	Bulk / Retail / Both	Organic Certified (Yes or No)	Kosher Certified (Yes or No)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

#### **Submission Form for Seal Certification 2019**

#### ATTACHMENT E

### **PRODUCER Olive Oil Submission Form**

\*\*Submit 1 Olive Oil Submission Form per oil being submitted for certification assessment\*\*

Oil Name / Lot Code		
Name Intended for Label (if different than Oil Name / Lot Name)		
Volume (in gallons)		
Varietal & Percentage	/	%
Varietal & Percentage	/	%
Varietal & Percentage	/	%
Varietal & Percentage	/	%
Varietal & Percentage	/	%
Varietal & Percentage	/	%
Varietal & Percentage	/	%
Varietal & Percentage	/	%
Will a percentage of this oil be used for a blend(s)? If yes, what blend(s) and what percentage of the blend(s) will be comprised of this oil?		
Grove Location (City)		
Harvest Date (MM/DD/YY)		
Milling Facility		
Mill Date (MM/DD/YY)		
Dry-farmed or Experienced Irrigation Deficit		
Filtered (Yes or No)		
Racking	Start Date:/ End Date://  # of cycles: Intervals of cycles:	
Type of Storage Container (stainless steel, food service plastic drum, fustino, market ready vessels, etc.)	· • • • • • • • • • • • • • • • • • • •	